

Entity FATCA & CRS Self Certification Form

1. FATCA Tax Residency

The Government of the United States of America passed a law in March 2010 under the Foreign Account Tax Compliance Act (FATCA) requiring disclosure on the existence of all accounts held by United States nationals and of any persons or entities to which the following indicia are applicable. Please tick where appropriate pertaining to your U.S. status.

i. Global Intermediary Identification Number (GIIN) - Required for Financial Institutions Only

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ii. Kindly indicate your Chapter 4 Status (FATCA status) below.

<input type="checkbox"/> US person	<input type="checkbox"/> Participating FFI	<input type="checkbox"/> Exempt beneficial owner	<input type="checkbox"/> Excepted NFFE
<input type="checkbox"/> Specified US person	<input type="checkbox"/> Deemed-compliant FFI	<input type="checkbox"/> Nonparticipating FFI	<input type="checkbox"/> Passive NFFE
<input type="checkbox"/> Foreign individual	<input type="checkbox"/> Restricted distributor	<input type="checkbox"/> Territory financial institution	

US Status Evaluation Criteria	Response	If "Yes", submit
iii. Is the business a US territory entity? <ul style="list-style-type: none"> The entity is organised in a US territory. 	<input type="checkbox"/> Yes <input type="checkbox"/> No	Form W-8BENE from the entity + Form W-9 for each controlling person who is US citizen/ US resident
iv. Is the business a non-US entity? Does it satisfy all the statements below? <ul style="list-style-type: none"> Incorporated outside USA Has no US status indicators All controlling persons of the entity are non-US persons (not US citizens or tax residents) 	<input type="checkbox"/> Yes <input type="checkbox"/> No	No additional documents required
v. Is the business an "Active" Non-Financial Foreign Entity (NFFE)? The term 'Active NFFE' generally refers to an entity that operates an active trade or business other than that of a financial business. It's income is generated from direct business activities or services, such as sales revenue, fees for services, or income from the operation of a trade or business. It typically involves material participation or effort.	<input type="checkbox"/> Yes <input type="checkbox"/> No	No additional documents required
vi. Is the business a US Owned "Passive" Non-Financial Foreign Entity (NFFE)? "Passive" NFFE with one or more person owning 10% of the shares or ownership of the entity is a US citizen/ green card holder or tax resident. A Passive NFFE is any non-financial foreign entity that is not an Active NFFE. Typically, it earns most of its income (at least 50%) from passive income/sources. These sources include interest income, interest equivalent to income, capital gain, dividends, certain rentals (except if derived from the active conduct of a business by employees), royalties, annuities, sale of financial assets/ transactions, excess foreign currency gains, certain commodity gains, amounts from cash value insurance contracts or insurance company earnings from reserves.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Form W-8BENE from the entity + Form W-9 for each controlling person who is US citizen/ US resident

2. CRS – Tax Residency – Controlling Persons

Trinidad & Tobago Government Regulations that took effect on 1st January 2025 require that all financial institutions obtain the following information from their customers. This is known as the Common Reporting Standard ('the CRS').

Indicate the name of any Controlling Person(s) for the Entity (natural persons who exercise control over the Entity who has 10% or more ownership in the entity) as per control types description below. Where more than six (6) Controlling Persons exist, please complete an additional form.

TIN/ SSN	Full Name	% Holding	Controlling Person Type

Types of Controlling Persons. Controlling person of a:

A. legal person – control by ownership	H. trust – other
B. legal person – control by other means	I. legal arrangement (non-trust) – settlor-equivalent
C. legal person – senior managing official	J. legal arrangement (non-trust) – trustee-equivalent
D. trust – settlor	K. legal arrangement (non-trust) – protector-equivalent
E. trust – trustee	L. legal arrangement (non-trust) – beneficiary-equivalent
F. trust – protector	M. legal arrangement (non-trust) – other-equivalent
G. trust – beneficiary	

3. CRS Tax Residency – Entity

Is the entity a tax resident of any other country, not including the United States of America? ☐ Yes ☐ No

If yes, please complete the following table indicating where the Entity is tax resident and the Entity's Tax Identification Number (TIN) for each country indicated. (If the Entity is not a tax resident in any country (e.g. because it is fiscally transparent), please indicate tax residency status on line 1 and provide the Entity's place of effective management or country in which its principal office is located.)

If a TIN is unavailable, please provide the Reason A, B or C where appropriate:

- Reason A - The country/jurisdiction where the Account Holder is liable to pay tax does not issue TINs to its residents.
- Reason B - The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why the entity is unable to obtain a TIN in the below table if this reason is selected)
- Reason C - No TIN is required because the tax residence country/jurisdiction that issued the TIN does not require the TIN to be disclosed.

Country/ Jurisdiction of Tax Residence	TIN	If no TIN available, select Reason A, B or C		
1.		<input type="checkbox"/> Reason A	<input type="checkbox"/> Reason B	<input type="checkbox"/> Reason C
2.		<input type="checkbox"/> Reason A	<input type="checkbox"/> Reason B	<input type="checkbox"/> Reason C

4. Declaration and Certification

- I/We certify that the information provided in this form is true, accurate, and complete to the best of my/our knowledge.
- I/We acknowledge and agree that the information contained in this form, along with details regarding the Account Holder and any Reportable Account(s), may be disclosed to the tax authorities of the country/jurisdiction where the account(s) is/are maintained. Such information may also be exchanged with tax authorities of other countries/jurisdictions where the Account Holder is a tax resident, in accordance with applicable laws, regulations, and Intergovernmental Agreements related to the exchange of financial account information.
- I/We hereby consent to, authorize, and instruct ANSA Bank Limited to disclose and exchange such information as required by law. I/We expressly waive any rights or protections under applicable data protection, confidentiality, or other relevant laws to the extent necessary to facilitate such disclosures and exchanges.
- I/We undertake to promptly notify ANSA Bank Limited, within 30 days of any changes to my/our tax residency status or any updates to the information provided in this form.
- I/We agree to indemnify and hold ANSA Bank Limited harmless against any claims, penalties, or damages resulting from the provision of incorrect, incomplete, or misleading information in this form.
- I/We confirm that this Declaration has been duly signed by an individual authorized to do so.

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Customer's Signature

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Title/ Designation

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Date Signed

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Entity Stamp/ Seal